

# The “quadratic family” of continued fractions and combinatorial sequences

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Topics in Special Functions and Number Theory

*Based on Joint Work With*

Alan D. Sokal

- 1 Introduction
- 2 Tangent, Secant, Genocchi, Genocchi medians
- 3 The permutations story
- 4 The D-permutations story
- 5 The cycle-alternating permutations story
- 6 Jacobi–Rogers matrix

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Stieltjes-type continued fraction (S-fraction):

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Also called regular C-fraction outside of combinatorial literature.

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Classify sequences by growth of  $\alpha$



- Catalan numbers:  
 $\alpha$ 's are  $1, 1, 1, 1, \dots$

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- Bell numbers (number of set partitions):  
 $\alpha$ 's are  $1, 1, 1, 2, 1, 3, 1, 4, \dots$
- $(2n - 1)!! = 1 \cdot 3 \cdots (2n - 1)$  :  
 $\alpha$ 's are  $1, 2, 3, 4, 5, \dots$

- Tangent numbers A000182
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- Even Springer numbers A000281

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$E_{2n+1}$  - Tangent numbers

$\alpha$ 's are  $1 \cdot 2, 2 \cdot 3, 3 \cdot 4, 4 \cdot 5, 5 \cdot 6, \dots$

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Classically expressed using Borel summation

Genocchi numbers

$$t \tan\left(\frac{t}{2}\right) = \sum_{n=0}^{\infty} g_n \frac{t^{2n+2}}{(2n+2)!}$$

The first few numbers are 1, 1, 3, 17, 155, 2073, ...

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$\alpha$ 's are  $1 \cdot 1, 1 \cdot 2, 2 \cdot 2, 2 \cdot 3, 3 \cdot 3, 3 \cdot 4 \dots$   
(Viennot 1981)



$$h_n = \sum_{i=0}^{n-1} (-1)^i \binom{n}{2i+1} g_{n-1-i}$$

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# Combinatorial Interpretation for Genocchi and Genocchi medians

Genocchi numbers  $g_n$  are counted by

$$\#\{\sigma \in \mathfrak{S}_{2n} \mid 2i > \sigma(2i) \text{ and } 2i - 1 \leq \sigma(2i - 1)\}$$

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D-e-semiderangements

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D-derangements

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D-permutations or Dumont-like permutations (Lazar and Wachs 2019)

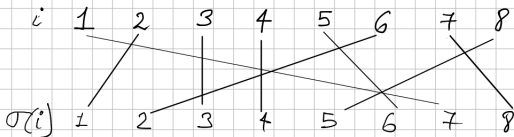
# Example of a D-permutation

Example:-

D-permutations

$$2i \geq \sigma(2i)$$

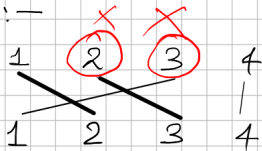
$$2i-1 \leq \sigma(2i-1)$$



$$\sigma = 713 \quad 46285$$

# Non-example

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Jacobi-type continued fraction for  $n!$ :

$$1 + 1!t + 2!t^2 + 3!t^3 + 4!t^4 + \dots = \frac{1}{1 - 1 \cdot t - \frac{1 \cdot t^2}{1 - 3 \cdot t - \frac{4 \cdot t^2}{1 - 5 \cdot t - \frac{9 \cdot t^2}{1 - \ddots}}}}$$

# Combinatorics and continued fractions: The permutations story

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Also called associated C-fraction outside of combinatorial literature.

# Cycle classification

For a permutation  $\sigma$ , compare each  $i$  with  $\sigma(i)$  and  $\sigma^{-1}(i)$ :



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- cycle valley  $\sigma^{-1}(i) > i < \sigma(i)$
- cycle peaks  $\sigma^{-1}(i) < i > \sigma(i)$
- cycle double rise  $\sigma^{-1}(i) < i < \sigma(i)$
- cycle double fall  $\sigma^{-1}(i) > i > \sigma(i)$
- fixed point  $i = \sigma(i) = \sigma^{-1}(i)$

Consider 5-variable polynomials

$$P_n(x_1, x_2, y_1, y_2, w) = \sum_{\sigma \in \mathfrak{S}_n} x_1^{\text{cpeak}(\sigma)} x_2^{\text{cdfall}(\sigma)} y_1^{\text{cval}(\sigma)} y_2^{\text{cdrise}(\sigma)} z^{\text{fix}(\sigma)}$$

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J-fraction:

$$\begin{aligned} & \sum_{n=0}^{\infty} P_n(x_1, x_2, y_1, y_2, w) t^n \\ = & \frac{1}{1 - z \cdot t - \frac{x_1 y_1 \cdot t^2}{1 - (x_2 + y_2 + z) \cdot t - \frac{4x_1 y_1 \cdot t^2}{1 - (2x_2 + 2y_2 + z) \cdot t - \frac{9x_1 y_1 \cdot t^2}{1 - \dots}}}} \end{aligned}$$

# Record classification

Consider  $\sigma$  as a word  $\sigma(1)\sigma(2)\dots\sigma(n)$ :

- $i$  is record if for every  $j < i$  we have  $\sigma(j) < \sigma(i)$   
left-to-right-maxima
- $i$  is antirecord if for every  $i > j$  we have  $\sigma(i) < \sigma(j)$   
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Each  $i$  is one of the following four types:

- rar - record-antirecord
- errec - exclusive record
- earec - exclusive antirecord
- nrar - neither record-antirecord

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- nrcdfall
- rar
- nrfix

Consider 10-variable polynomials

$$P_n(x_1, x_2, y_1, y_2, u_1, u_2, v_1, v_2, w, z) = \sum_{\sigma \in \tilde{\mathfrak{S}}_n} x_1^{\text{eareccpeak}(\sigma)} x_2^{\text{eareccdfall}(\sigma)} y_1^{\text{ereccval}(\sigma)} y_2^{\text{ereccdrise}(\sigma)} z^{\text{rar}(\sigma)} \times u_1^{\text{nrcpeak}(\sigma)} u_2^{\text{nrcdfall}(\sigma)} v_1^{\text{nrcval}(\sigma)} v_2^{\text{nrcdrise}(\sigma)} w^{\text{nrfix}(\sigma)}$$

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Nice J-fraction:

Theorem (First J-fraction of Sokal–Zeng (2022) for permutations)

$$= \frac{\sum_{n=0}^{\infty} P_n(x_1, x_2, y_1, y_2, u_1, u_2, v_1, v_2, z, w) t^n}{1 - z \cdot t - \frac{1}{x_1 y_1 \cdot t^2} \frac{1}{1 - (x_2 + y_2 + w) \cdot t - \frac{(x_1 + u_1)(y_1 + v_1) \cdot t^2}{1 - ((x_2 + v_2) + (y_2 + v_2) + w) \cdot t - \frac{(x_1 + 2u_1)(y_1 + 2v_1) \cdot t^2}{1 - \dots}}}}$$

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They then generalise this to 18 variables



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Randrianarivony in a little-known paper had actually interpreted almost all of the variables for different statistics in 1998!!!

# Counting cycles

Consider 11-variable polynomials

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Theorem (D. (2023), Conjectured by Sokal–Zeng (2022))

$$\sum_{n=0}^{\infty} P_n(x_1, x_2, y_1, y_2, u_1, u_2, y_1, v_2, w, z, \lambda) t^n = \frac{1}{1 - \lambda z \cdot t - \frac{\lambda x_1 y_1 \cdot t^2}{1 - (x_2 + y_2 + \lambda w) \cdot t - \frac{(\lambda + 1)(x_1 + u_1) y_1 \cdot t^2}{1 - ((x_2 + v_2) + (y_2 + v_2) + \lambda w) \cdot t - \frac{(\lambda + 2)(x_1 + 2u_1) y_1 \cdot t^2}{1 - \dots}}}}$$

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Thron-type continued fraction

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where

$$\delta_1 = z^2$$

$$\alpha_{2k-1} = [x_1 + (k-1)u_1] \cdot [y_1 + (k-1)v_1]$$

$$\alpha_{2k} = [x_2 + (k-1)u_2 + w] \cdot [y_2 + (k-1)v_2 + w].$$

# The D-permutations story

Consider 10-variable polynomial

$$P_n(x_1, x_2, y_1, y_2, u_1, u_2, v_1, v_2, w, z) = \sum_{\sigma \in \mathfrak{D}_{2n}} x_1^{\text{eareccpeak}(\sigma)} x_2^{\text{eareccdfall}(\sigma)} y_1^{\text{ereccval}(\sigma)} y_2^{\text{ereccdrise}(\sigma)} z^{\text{rar}(\sigma)} \times u_1^{\text{nrcpeak}(\sigma)} u_2^{\text{nrcdfall}(\sigma)} v_1^{\text{nrcval}(\sigma)} v_2^{\text{nrcdrise}(\sigma)} w^{\text{nrfix}(\sigma)}$$

Thron-type continued fraction

$$\sum_{n=0}^{\infty} P_n t^n = \frac{1}{1 - \delta_0 t - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{\ddots}}}}$$

where

$$\delta_1 = z^2$$

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Can do better!!

# The D-permutations story

Separate fixed points by parity

Theorem (D.-Sokal '22 (arxiv))

$$\sum_{n=0}^{\infty} P_n t^n = \frac{1}{1 - \delta_0 t - \frac{\alpha_1 t}{1 - \frac{\alpha_2 t}{1 - \frac{\alpha_3 t}{\ddots}}}}$$

# The D-permutations story

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Theorem (D.-Sokal '22 (arxiv))

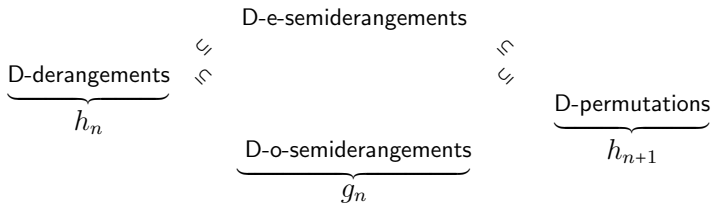
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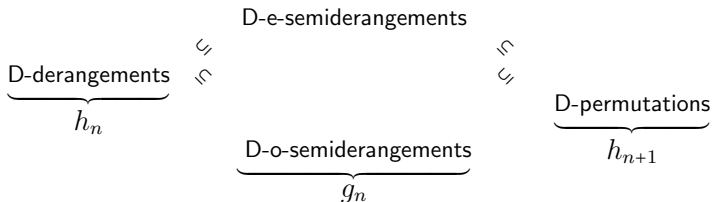
$$\delta_1 = z_e z_o$$

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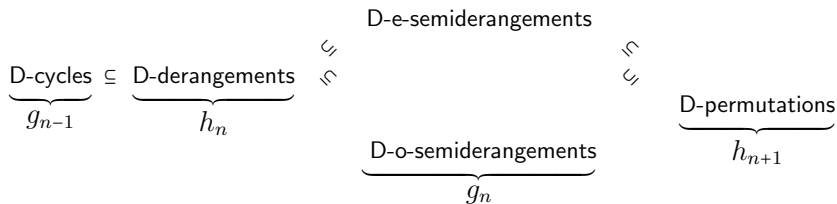
$$\alpha_{2k} = [x_2 + (k-1)u_2 + w_e] \cdot [y_2 + (k-1)v_2 + w_o].$$







We can also count cycles [D.–Sokal '22, D. '23]



We can also count cycles [D.–Sokal '22, D. '23]

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- 5 The cycle-alternating permutations story**
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Secant numbers  $E_{2n}$  are counted by

Secant numbers  $E_{2n}$  are counted by cycle-alternating permutations

Secant numbers  $E_{2n}$  are counted by cycle-alternating permutations  $\sigma \in \mathfrak{S}_{2n}$  where each  $i \in [2n]$

- either cycle valley ( $\sigma^{-1}(i) > i < \sigma(i)$ )
- or cycle peak ( $\sigma^{-1}(i) < i > \sigma(i)$ )

# The cycle-alternating permutations story

$$P_n(x_1, x_2, y_1, y_2, u_1, u_2, v_1, v_2, w, z) = \sum_{\sigma \in \mathfrak{S}_n} x_1^{\text{eareccpeak}(\sigma)} x_2^{\text{eareccdfall}(\sigma)} y_1^{\text{ereccval}(\sigma)} y_2^{\text{ereccdrise}(\sigma)} z^{\text{rar}(\sigma)} \times u_1^{\text{nrcpeak}(\sigma)} u_2^{\text{nrcdfall}(\sigma)} v_1^{\text{nrcval}(\sigma)} v_2^{\text{nrcdrise}(\sigma)} w^{\text{nrfix}(\sigma)}$$

Theorem (First J-fraction of Sokal–Zeng (2022) for permutations)

$$\begin{aligned} & \sum_{n=0}^{\infty} P_n(x_1, x_2, y_1, y_2, u_1, u_2, v_1, v_2, z, w) t^n \\ = & \frac{1}{1 - z \cdot t - \frac{1}{1 - ((x_2 + y_2 + w) \cdot t - \frac{(x_1 + u_1)(y_1 + v_1) \cdot t^2}{1 - ((x_2 + v_2) + (y_2 + v_2) + w) \cdot t - \frac{(x_1 + 2u_1)(y_1 + 2v_1) \cdot t^2}{1 - \dots}}}} \end{aligned}$$

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Set  $x_2 = y_2 = u_2 = v_2 = w = z = 0$



# The cycle-alternating permutations story

Consider 4-variable polynomials

$$P_{2n}(x, y, u, v) = \sum_{\sigma \in \mathfrak{S}_{2n}^{\text{ca}}} x^{\text{eareccpeak}(\sigma)} y^{\text{ereccval}(\sigma)} u^{\text{nrcpeak}(\sigma)} v^{\text{nrcval}(\sigma)}$$

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Separate by parity

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Special case of more general continued fraction of Sokal–Zeng involving 2 infinite families

# Counting of cycles

Consider 9-variable polynomials

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Theorem (D.-Sokal '23 (arxiv))

$$\sum_{n=0}^{\infty} P_{2n} t^n = \frac{1}{1 - \frac{\lambda x_e y_o \cdot t}{1 - \frac{(\lambda + 1)(x_o + u_o) y_e \cdot t}{1 - \frac{(\lambda + 2)(x_e + 2u_e) y_o \cdot t}{1 - \frac{(\lambda + 3)(x_o + 3u_o) y_e \cdot t}{\ddots}}}}}$$

$$\underbrace{\text{Alternating cycles}}_{E_{2n-1}} \subseteq \underbrace{\text{Cycle-alternating permutations}}_{E_{2n}}$$

# Jacobian Elliptic Function

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Combinatorial interpretation due to Dumont (1979,1980). He introduced Schett polynomials.

## Series expansion

$$\operatorname{sn}(u, k) = \sum_{n=0}^{\infty} (-1)^{(n-1)/2} \mathcal{E}_{2n+1}(k) \frac{u^{2n+1}}{(2n+1)!}$$
$$\operatorname{cn}(u, k) = \sum_{n=0}^{\infty} (-1)^{n/2} \mathcal{E}_{2n}(k) \frac{u^{2n}}{(2n)!}$$



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$$\sum_{n=0}^{\infty} \mathcal{E}_{2n}(k) t^n = \frac{1}{1 - \frac{t}{1 - \frac{2^2 k^2 t}{1 - \frac{3^2 t}{1 - \frac{4^2 k^2 t}{1 - \ddots}}}}}$$

[Stieltjes, 1889]

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[Stieltjes, 1889]

Our continued fraction also generalises this

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Consider the J-fraction

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$$J_{n,n} = 1$$

$$J_{n,k} = J_{n-1,k-1} + \gamma_k J_{n-1,k} + \beta_{k+1} J_{n-1,k+1}$$

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Also known as Stieltjes table/tableau

If

$$\sum_{n=0}^{\infty} a_n t_n = \frac{1}{1 - \gamma_0 t - \frac{\beta_1 t^2}{1 - \gamma_1 t - \frac{\beta_2 t^2}{1 - \ddots}}}$$

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then

$$J_{n,0} = a_n$$

Question: If J-fraction for  $a_n$  is known, combinatorially understand matrix J

When  $a_n = n!$ ,

$$\sum_{n=0}^{\infty} a_n t^n = \frac{1}{1 - t - \frac{1t^2}{1 - 3t - \frac{4t^2}{1 - \dots}}}$$

$$J_{n,k} = \binom{n}{k} \frac{n!}{k!}$$

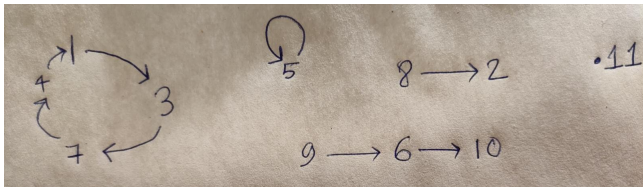
These count Laguerre digraphs with  $k$  paths

# Laguerre digraph

Laguerre digraph is a labelled digraph where each vertex has in and out-degree 0 or 1

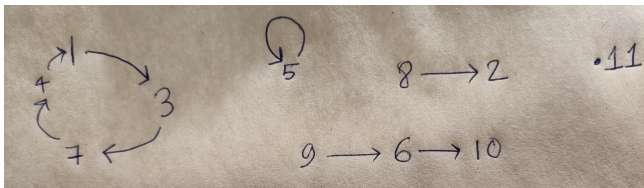
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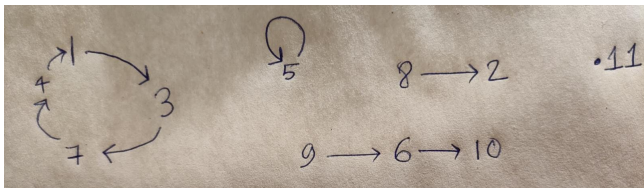
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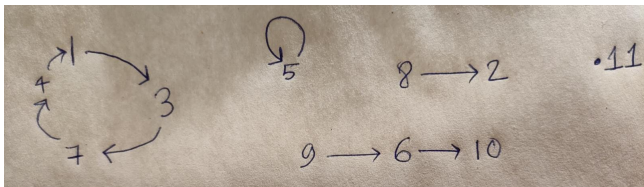


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No paths - permutation

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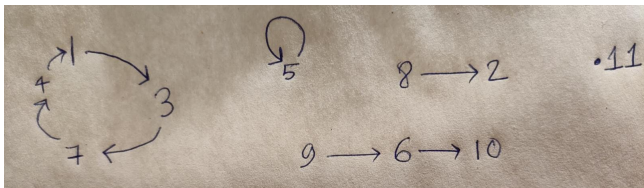
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Number of Laguerre digraphs on  $n$  vertices with  $k$  elements -

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Can extend permutation statistics to Laguerre digraphs [D.-Sokal (ongoing)]



$i$  can be classified as:

- Peak
- Valley
- Double ascent
- Double descent
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Alternating Laguerre digraph - Laguerre digraphs where each vertex is either a peak or a valley

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Alternating Laguerre digraph - Laguerre digraphs where each vertex is either a peak or a valley

Interpret Jacobi-Rogers matrix for secant numbers  $E_{2n}$  [D.-Sokal '23]

We have a combinatorial interpretation for

$$\frac{1}{1 - \frac{1 \cdot t}{1 - \frac{1 \cdot t}{1 - \frac{2 \cdot t}{1 - \frac{2 \cdot t}{1 - \ddots}}}}}$$

i.e.  $\alpha$ 's given by  $1, 1, 2, 2, 3, 3, 4, 4, \dots$ . We can also read off statistics from this by putting in variables.

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"multivariately"

- $k = 1$  quasi-linear case:  $n!$

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- $k = 3$  quasi-cubic case: Not on OEIS!!!

- Some multivariate master polynomials for permutations, set partitions, and perfect matchings, and their continued fractions, *Advances in Applied Mathematics*, A. Sokal and J. Zeng, 2022.
- A simple algorithm for expanding a power series as a continued fraction, *Expositiones Mathematicae*, A. Sokal, 2022.
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- Continued fractions for cycle-alternating permutations, B. Deb and A. Sokal, arxiv, 2023.
- Continued fractions using a Laguerre digraph interpretation of the Foata–Zeilberger bijection and its variants, B. Deb, arxiv, 2023.



Thank you